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11 **UNITED STATES DISTRICT COURT**  
12  
13 **SOUTHERN DISTRICT OF CALIFORNIA**

14 **SECURITIES AND EXCHANGE**  
15 **COMMISSION,**

16 Plaintiff,

17 vs.

18 **LOUIS V. SCHOOLER and FIRST**  
19 **FINANCIAL PLANNING**  
20 **CORPORATION d/b/a WESTERN**  
21 **FINANCIAL PLANNING**  
22 **CORPORATION,**

23 Defendants.

Case No. 12 CV 2164 GPC JMA

**PLAINTIFF SECURITIES AND  
EXCHANGE COMMISSION'S  
JOINER TO RECEIVER'S  
OPPOSITION TO DEFENDANTS'  
MOTION FOR MODIFICATION OF  
PRELIMINARY INJUNCTION  
(INJUNCTION AGAINST  
RECEIVER'S INTERFERENCE  
WITH BALLOTING BY GENERAL  
PARTNERS AND MISLEADING  
WEBSITE)**

Date: October 3, 2014  
Time: 1:30 p.m.  
Place: Courtroom 2D  
Judge: Hon. Gonzalo P. Curiel

1 Plaintiff Securities and Exchange Commission hereby joins in the Receiver's  
2 Opposition to Defendants' Motion for Modification of Preliminary Injunction  
3 (Injunction against Receiver for Interference with Balloting by General Partners (Dkt.  
4 No. 661)) filed on September 19, 2014.

5  
6 Dated: September 19, 2014

Respectfully submitted,

7 /s/ Sam S. Puathasnanon

8 Sam. S. Puathasnanon

9 Lynn Dean

Sara Kalin

10 Attorneys for Plaintiff

Securities and Exchange Commission

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**PROOF OF SERVICE**

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION,  
5670 Wilshire Boulevard, 11th Floor, Los Angeles, California 90036-3648  
Telephone No. (323) 965-3998; Facsimile No. (323) 965-3908.

On September 19, 2014, I caused to be served the document entitled **PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S JOINDER TO RECEIVER'S OPPOSITION TO DEFENDANTS' MOTION FOR MODIFICATION OF PRELIMINARY INJUNCTION (INJUNCTION AGAINST RECEIVER'S INTERFERENCE WITH BALLOTING BY GENERAL PARTNERS AND MISLEADING WEBSITE)** on all the parties to this action addressed as stated on the attached service list:

**OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

**PERSONAL DEPOSIT IN MAIL:** By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid.

**EXPRESS U.S. MAIL:** Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid.

**HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

**UNITED PARCEL SERVICE:** By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California.

**ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

**E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

**FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare under penalty of perjury that the foregoing is true and correct.

Date: September 19, 2014

/s/ Sam S. Puathasnanon  
Sam S. Puathasnanon

