

1 LYNN M. DEAN, Cal. Bar No. 205562
Email: deanl@sec.gov
2 SARA KALIN, Cal. Bar No. 212156
Email: kalins@sec.gov

3 Attorneys for Plaintiff
4 Securities and Exchange Commission
Michele Wein Layne, Regional Director
5 Amy Jane Longo, Regional Trial Counsel
444 S. Flower Street, Suite 900
6 Los Angeles, California 90071
Telephone: (323) 965-3998
7 Facsimile: (213) 443-1904

8 **UNITED STATES DISTRICT COURT**
9 **SOUTHERN DISTRICT OF CALIFORNIA**

10
11 **SECURITIES AND EXCHANGE**
12 **COMMISSION,**

13 Plaintiff,

14 vs.

15 **LOUIS V. SCHOOLER and FIRST**
16 **FINANCIAL PLANNING**
17 **CORPORATION d/b/a WESTERN**
FINANCIAL PLANNING
CORPORATION,

18 Defendants.
19
20

Case No. 12 CV 2164 GPC JMA

**PLAINTIFF’S STATEMENT OF
NON-OPPOSITION TO RECEIVER’S
TWENTIETH INTERIM
APPLICATION FOR APPROVAL
AND PAYMENT OF FEES AND
COSTS; COUNSEL TO RECEIVER’S
TWENTIETH INTERIM
APPLICATION FOR APPROVAL OF
PAYMENT OF FEES AND COSTS;
AND ACCOUNTANT TO
RECEIVER’S SEVENTH INTERIM
APPLICATION FOR APPROVAL
AND PAYMENT OF FEES AND
COSTS**

Dkt. Nos. 1521, 1522, 1523

Date: October 27, 2017
Time: 1:30 p.m.
Ctrm: 2D
Judge: Hon. Gonzalo P. Curiel

10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

1 Plaintiff Securities and Exchange Commission (“SEC”) hereby files this
2 Statement of Non-Opposition to the Receiver’s Twentieth Interim Application for
3 Approval and Payment of Fees and Costs; Counsel to Receiver’s Twentieth Interim
4 Application for Approval of Payment of Fees and Costs; and Accountant to
5 Receiver’s Seventh Interim Application for Approval and Payment of Fees and Costs.
6 (Dkt. Nos. 1521, 1522, 1523). The fees sought appear reasonable in light of the
7 work performed during this interim period. Accordingly, the SEC supports the Fee
8 Applications of the Receiver, Receiver’s counsel, and the Receiver’s accountant.
9

10 Dated: October 13, 2017

Respectfully submitted,

11
12 */s/ Lynn M. Dean*

Lynn M. Dean

Sara D. Kalin

Attorneys for Plaintiff

Securities and Exchange Commission
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

PROOF OF SERVICE

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION,
444 S. Flower Street, Suite 900, Los Angeles, California 90071
Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904.

On October 13, 2017, I caused to be served the document entitled **PLAINTIFF’S STATEMENT OF NON-OPPOSITION TO RECEIVER’S TWENTIETH INTERIM APPLICATION FOR APPROVAL AND PAYMENT OF FEES AND COSTS; COUNSEL TO RECEIVER’S TWENTIETH INTERIM APPLICATION FOR APPROVAL OF PAYMENT OF FEES AND COSTS; AND ACCOUNTANT TO RECEIVER’S SEVENTH INTERIM APPLICATION FOR APPROVAL AND PAYMENT OF FEES AND COSTS** on all the parties to this action addressed as stated on the attached service list:

OFFICE MAIL: By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency’s practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

PERSONAL DEPOSIT IN MAIL: By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid.

EXPRESS U.S. MAIL: Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid.

HAND DELIVERY: I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

UNITED PARCEL SERVICE: By placing in sealed envelope(s) designated by United Parcel Service (“UPS”) with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California.

ELECTRONIC MAIL: By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

E-FILING: By causing the document to be electronically filed via the Court’s CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

FAX: By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare under penalty of perjury that the foregoing is true and correct.

Date: October 13, 2017

/s/ Lynn M. Dean

Lynn M. Dean

