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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

LOUIS V. SCHOOLER and FIRST
FINANCIAL PLANNING
CORPORATION d/b/a WESTERN
FINANCIAL PLANNING
CORPORATION,

Defendants.

Case No.: 3:12-cv-02164-GPC-JMA

**ORDER SETTING BRIEFING
SCHEDULE AS TO DISPUTED
INVESTOR CLAIM AMOUNTS**

[ECF No. 1545]

On November 2, 2017, the Receiver filed a motion asking the Court to (1) resolve disputed investor claims against the receivership, (2) approve the proposed allowed claim amounts, and (3) authorize the dissolution of the general partnerships and related entities. (ECF No. 1545.) The Court has since granted the Receiver authorization to dissolve the general partnerships and related entities. (ECF No. 1565.) With respect to the disputed investor claim amounts, the Court held a hearing on December 15, 2017, during which it explained that it intends to set forth a briefing schedule and hearing to permit the six investors disputing their claim amounts to offer evidence and argument in support of their

1 position that the Receiver's proposed claim amount is incorrect. The Court therefore
2 issues the following schedule:

- 3
- 4 • On or before **January 19, 2018**, any of the six investors listed in the
5 Receiver's motion—(1) Jean Dunham, (2) Mark and Gwen Wolf-
6 Iwanowski, (3) Sheri Gracelyn, (4) John and Mary Jenkins, (5) Jeffrey
7 Compangano, and (6) Joseph and Carmen De Assis—may submit a letter
8 explaining why he or she (or they) believe that the Receiver's proposed
9 claim amount is incorrect. The letter must be accompanied by evidence
10 supporting the investor's argument. Such evidence may include, but is not
11 limited to, copies of bank records, written agreements, checks, letters, or
12 email correspondence. The letter, and accompanying evidence, shall be
13 mailed to the Court at the following address:

14
15 Hon. Gonzalo P. Curiel
16 Re: *S.E.C. v. Schooler*, No. 3:12-cv-02164-GPC-JMA
17 U.S. District Court for the Southern District of California
18 221 West Broadway
19 San Diego, CA 92101

20 The letters and evidence received will be placed on the public case docket.
21 As a result, the Court requests that investors redact any confidential
22 identification information (*e.g.*, Social Security Number, address, bank
23 account number) in their submissions. If such confidential information is
24 relevant to the investor's disputed claim, the investor shall submit an
25 unredacted copy, which the Court will file under seal, and a redacted copy,
26 which the Court will file publicly.

27 The Court notes that it has already received a letter and evidence from
28 investors John and Mary Jenkins. If they wish to submit any other
information, they may do so by the deadline stated above.

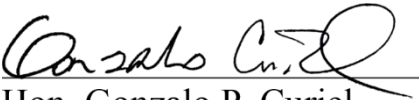
- 29 • On or before **February 2, 2018**, the Receiver shall file a response to each
30 investor's letter. The Receiver shall file an accompanying notice that it has
31 served each investor with his respective response.
- 32 • The Court will hold a hearing in **Courtroom 2D** in the Edward J. Schwartz
33 U.S. Courthouse, 221 West Broadway, San Diego, CA, 92101, at **1:30 p.m.
34 Pacific Standard Time on February 9, 2018**, to address these disputed
35 claims. The six investors listed above may be heard with respect to their
36 position by attending the hearing in person or over the telephone. To attend

1 telephonically, call the conference line at (888) 684-8852, and enter the
2 Access Code **6479627**. Any investor appearing telephonically shall call the
3 conference line no later than 1:25 p.m. Pacific Standard Time.

4 The Receiver is instructed to serve a copy of this order on the six investors listed above,
5 and file with the Court proof of such service no later than December 20, 2017.

6 **IT IS SO ORDERED.**

7 Dated: December 15, 2017

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9 Hon. Gonzalo P. Curiel
10 United States District Judge
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