1 2 3 4 5 6 UNITED STATES DISTRICT COURT 8 CENTRAL DISTRICT OF CALIFORNIA 9 10 SECURITIES AND EXCHANGE Case No. 2:15-cv-02563 DDP (ASx) 11 COMMISSION, ORDER APPROVING 12 Plaintiff, SETTLEMENT WITH MILLS. POTOCZAK & COMPANY, PC 13 [570] v. 14 PACIFIC WEST CAPITAL GROUP, INC.; ANDREW B CALHOUN IV; Date: October 2, 2023 Time: 10:00 a.m. 15 PWĆG TRUST; BRENDA CHRISTINE 9C Ctrm.: BARRY; BAK WEST, INC.; ANDREW B. CALHOUN JR.; ERIC CHRISTOPHER CANNON; CENTURY Judge: Hon. Dean D. Pregerson 16 17 POINT, LLC; MICHAEL WAYNE DOTTA; and CALEB AUSTIN MOODY (dba SKY STONE), 18 19 Defendants. 20 21 22 23 24 25 26 27 28

LAW OFFICES

Allen Matkins Leck Gamble
Mallory & Natsis LLP

4892-3543-1463 1

The Court, having reviewed Thomas Hebrank ("Receiver"), the Courtappointed receiver for Defendant PWCG Trust's Motion for Approval of Settlement with Mills, Potoczak & Company, PC ("Motion"), including any supporting declarations and objections filed therein, and being so advised in the matter and finding good cause, orders as follows:

- 1. The Receiver's Motion is granted.
- 2. The Settlement Agreement, a copy of which is attached as Exhibit A to the Declaration of Thomas C. Hebrank in support of the Motion, is approved.
- 3. The distribution of settlement proceeds as provided in the Settlement Agreement and the state court's order approving the settlement (Exhibit B to Decl. of Thomas C. Hebrank) is likewise approved, including for (1) settlement payments to Class Members; (2) \$1,462,500 in attorneys' fees and litigation costs to Plaintiffs' Counsel; and (3) an Incentive Award of \$10,000 to each named Plaintiff and Class Representative in the state action (total \$30,000 for the three plaintiffs) for services on behalf of the class.
- 4. All persons and entities are permanently barred and enjoined from commencing, instituting, prosecuting, maintaining, or continuing, directly or indirectly, any lawsuit, action, cause of action, claim, cross-claim, third-party claim, demand, controversy, claim over, appeal or other action, of whatsoever nature at common law, statutory, legal, or equitable, or otherwise, including but not limited to any claim seeking damages, indemnity, contribution, or otherwise, in any forum against Mills Potoczak & Company, PC related to or arising from, directly or indirectly any damages, injuries, or losses allegedly sustained as a result of, or related directly or indirectly, to the subject matter of this action or the Receiver's related action against Mills Potoczak & Company, PC, Case No. 20-cv-08097-DDP (MAAx).

27 Dated: OCTOBER 16, 2023

Hon. Dean D. Pregerson United States District Judge